

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No.: SCHMITT-13

In re Application of:

JAKOB SCHMITT

Appl. No.: 10/047,486

Filed: January 14, 2002

For: METHOD FOR SECURING A ROD-SHAPED)
PART IN A HOLDING MEMBER, A
THREADED ROD AND USE THEREOF

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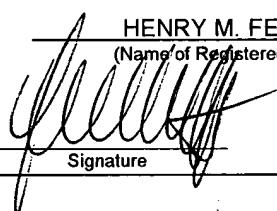
GROUP 3600

INFORMATION DISCLOSURE STATEMENT

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on March 26, 2002.
(Date)

HENRY M. FEIEREISEN

(Name of Registered Representative)

A handwritten signature of Henry M. Feiereisen.

3/26/2002

Signature

Date of Signature

Commissioner for Patents
Washington, D.C. 20231

SIR:

In accordance with 37 C.F.R. 1.56, applicant wishes to call the attention of the Examiner to the following references A) to C) which were cited in the instant specification and references D) to E) which were cited during examination in parallel German patent application No. 100 03 572. Applicant does not admit that any of the cited documents constitutes prior art against the pending application.

| Country: | Patent or Appl. No: | Patentee or Applicant: | Issue or Filing Date: |
|------------------|------------------------|---------------------------|--------------------------|
| A) Germany | DE 44 35 466 A1 | Srohl | 04-11-1996 |
| B) Germany | DE 41 31 385 C2 | Schaupert | 04-07-1994 |
| C) Germany | DE 29 12 033 C2 | Kanamaru | 12-13-1984 |
| D) International | WO 97/21506 | Niemeier | 06-19-1997 |
| E) Germany | DE 31 45 469 A1 | Abel | 05-19-1983 |

Copies of these references are submitted herewith along with form PTO-1449. The Examiner is requested to initial the attached form PTO-1449 and to return a copy of the initialed document to the undersigned as an indication that the attached references have been considered and made of record.

This Information Disclosure Statement is filed within three months of the filing date of a national application other than a continued prosecution application under 1.53(d), so that no fee under 37 C.F.R. §1.97 is due.

This Information Disclosure Statement is filed within three months of the date of entry of the national stage as set forth in 1.491 in an international application, so that no fee under 37 C.F.R. §1.97 is due.

This Information Disclosure Statement is filed before the mailing of a first Office Action on the merits, so that no fee under 37 C.F.R. §1.97 is due.

This Information Disclosure Statement is filed before the mailing of a first Office Action after the filing of a request for continued examination under §1.114, so that no fee under 37 C.F.R. §1.97 is due.

- This Information Disclosure Statement is filed after the issuance of a first office but before issuance of a final action under §1.113, or a notice of allowance under §1.311.
- This Information Disclosure Statement is submitted after the mailing of a final action or a notice of allowance, but before payment of the issue fee.
- The undersigned submits the following statement requesting consideration of this statement:

The undersigned hereby states:

- That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement;
- That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement.
- The fee of \$180.00 set forth in 1.17(p).
- The Commissioner is hereby authorized to charge the fee as set forth in 1.17(p), and any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-0502.

[] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-0502.

In order to satisfy the requirement under 37 C.F.R. §1.98(a)(3) for a concise explanation of the relevance of each item of information, applicant notes with respect to any information that is not in English language as follows:

Reference E) describes a method and an apparatus for producing a positive and non-positive connection between a first component provided with a recess and a second component to be inserted into the recess of the first component. A circumferentially extending groove is machined into the second component, being arranged in such a way that, when the two components are fitted together, it lies at least partially within the recess of the first component. By subsequently pressing the edge region of the recess of the first component into the groove of the second component, the two components are connected to one another positively and non-positively.

The above-identified application discloses and claims an invention patentable over this prior art.

Entry of the references above set forth into the file of the above application is believed to be in order and is respectfully requested.

The Commissioner is hereby authorized to charge any additional fees

which may be required, or credit any overpayment to Deposit Account No. 06-0502.

Respectfully submitted

By: 

Henry M. Feiereisen
Agent for Applicant
Reg. No. 31,084

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